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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/518,816
Filing Date	with an effective filing date of July 2, 2003
First Named Inventor	Gerhard GUMPOLTSBERGER
Art Unit	
Examiner Name	
Attorney Docket Number	ZAHFRI P702US

Sheet 1 of 1

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
DW	1	US-4,395,925	08/02/1983	GAUS	Equiv. to DE-029 36 969
	2	US-5,455,767	10/03/1995	STAERKER	Equiv. to EP-0 531 567
	3	US-5,514,050	05/07/1996	BÄUERLE et al.	Equiv. to DE-43 30 391
	4	US-5,913,746	06/22/1999	BÄUERLE	Equiv. to DE-196 10 950
	5	US-2003/0054917	03/20/2003	RAGHAVAN et al.	
DW	6	US-2003/0203784	10/30/2003	USORO et al.	
	7	US-			
	8	US-			
	9	US-			
	10	US-			
	11	US-			
	12	US-			
	13	US-			
	14	US-			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> No. <sup>4</sup> Kind Code <sup>5</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
DW	1	JP-2000234664	08/29/2000	OKUBA	Abstract	✓
DW	2	DE-102 31 350 A1	01/29/2004	GUMPOLTSBERGER	English Translation Attached	
DW	3	EP-1 411 269 A2	04/21/2004	BUCKNOR et al.		
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	5					
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Examiner Signature	/Dirk Wright/ (08/02/2006)			Date Considered	08/02/2006	

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DW	1	US-5,342,257	08/30/1994	HOTTA et al.	
	2	US-			
	3	US-			
	4	US-			
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	6	US-			
	7	US-			
	8	US-			
	9	US-			
	10	US-			
	11	US-			
	12	US-			
	13	US-			
	14	US-			

  

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DW	1	DE 689 07 338	11/25/1993	ASADA		✓
	2	DE 691 16 480	06/13/1996	HOTTA et al.		
	3	EP 0 378 900	06/23/1993	ASADA		
DW	4	WO 03/095865	11/20/2003	ZIEMER et al.		
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Examiner Signature	/Dirk Wright/ (08/02/2006)	Date Considered	08/02/2006
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			Application Number		10/518816	
			Filing Date			
			First Named Inventor		Gerhard GUMPOLTSBERGER	
			Art Unit			
			Examiner Name			
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DW	1	US-5,106,352	04/21/1992	LEPELLETIER	Equiv to EP-0 434 525	
	2	US-5,295,924	03/22/1994	BEIM		
	3	US-5,435,792	07/25/1995	JUSTICE et al.		
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	7	US-6,217,474 B1	04/17/2001	ROSS et al.	Equiv. to DE-100 43 751	
	8	US-6,425,841 B1	07/30/2002	HAKA		
	9	US-2003/0083174 A1	05/01/2003	TABATA et al.	Equiv. to DE-102 50 374	
	10	US-6,558,287 B2	05/06/2003	HAYABUCHI et al.	Equiv. to DE-102 13 820	
	11	US-6,572,507 B1	06/03/2003	KORKMAZ et al.	Equiv. to DE-199 12 480	
	12	US-6,634,980 B1	10/21/2003	ZIEMER	Equiv. to DE-199 49 507	
	13	US-6,723,018 B2	04/20/2004	HAYABUCHI et al.	Equiv. to DE-102 00 379	
	14	US-2004/0097324 A1	05/20/2004	ZIEMER	Equiv. to WO-02/079670	
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Sheet 2 of 3		Attorney Docket Number		ZAHFRI P702US		
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DW	1	GB-1 522 616	08/27/1978	MILLER		
	2	EP-0 434 525 A1	06/26/1991	LEPELLETIER	Equiv. to US-5,106,352	✓
	3	JP-04119245 A	04/20/1992	SUGANO	Abstract	
	4	DE-199 12 480 A1	09/28/2000	KORKMAZ et al.	Equiv. to US-6,572,507	
	5	JP-2000291747 A	10/20/2000	TAKAGI et al.	Abstract	
	6	JP-2001082555A	03/27/2001	GOTO et al.	Abstract	
	7	DE-199 49 507 A1	04/19/2001	ZIEMER	Equiv. To US-6,634,980	
	8	DE-100 43 751 A1	06/13/2001	ROSS et al.	Equiv. to US-6,217,474	
	9	DE-102 00 379 A1	08/01/2002	HAYABUCHI et al.	Equiv. to US-6,723,018	
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	11	WO-02/079670 A2	10/10/2002	ZIEMER	Equiv. to US-2004/0097324	
	12	WO-02/079671 A2	10/10/2002	ZIEMER	Equiv. to US-2004/0116238	
	13	JP-2002323098 A	11/08/2002	HIRAIWA	Abstract	
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Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>		<b>Complete If Known</b>	
		Application Number	10/518816
		Filing Date	
		First Named Inventor	Gerhard GUMPOLTSBERGER
		Art Unit	
		Examiner Name	
Sheet 3 of 3	Attorney Docket Number	ZAHFRI P702US	
<b>NON PATENT LITERATURE DOCUMENTS</b>			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
DW	1	TENBERGE, Prof. Dr. P., "E-Automat Automatikgetriebe mit Esprit", VDI-BERICHTE, Nr. 1610, VDI Verlag GmbH-Düsseldorf, GERMANY, June 20, 2001, pp. 455-479.	
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